

IPW



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 30 November 2006

Wendy Scott

(Typed or Printed Name of Person Mailing Paper or Fee)

Wendy Scott  
(Signature of Person Mailing Paper or Fee)

Attorney Docket No.: SUN-P9323

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

**Inventor:** Marc Tremblay, et al.  
**Title:** START TRANSACTIONAL EXECUTION (STE) INSTRUCTION TO SUPPORT TRANSACTIONAL PROGRAM EXECUTION  
**Filing Date:** 08 August 2003  
**Serial Number:** 10/637,166  
**Group Art Unit:** 2183  
**Examiner:** Johnson, Brian P.

Listed below or on an attached Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. §1.56. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

— This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- (1) It is being filed within 3 months of the application filing date.  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage.  
-- OR --
- (3) It is being filed before the mail date of the first Office Action on the merits.
- X (4) It is being filed before the mail date of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

— 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

— a certification as specified in §1.97(e) is provided below; **or**

— a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

— 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; **and**

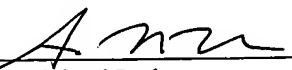
B. a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

— This statement is being filed after the payment of the issue fee but before issuance of the patent.

— Statement under 37 C.F.R. 1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature: \_\_\_\_\_  
A. Richard Park (Reg. No. 41,241)  
Respectfully submitted,

Date 30 November 2006

By:   
A. Richard Park  
Reg. No. 41,241

PARK, VAUGHAN & FLEMING LLP  
2820 Fifth Street  
Davis, CA 95618-7759  
Telephone (530) 759-1661  
Facsimile (530) 759-1665  
Email: richard@parklegal.com  
Date: 30 November 2006



SHEET 1 OF 1

<b>INFORMATION DISCLOSURE CITATION</b>  PTO-1449				ATTY. DOCKET NO. SUN-P9323		APPLICATION NO. 10/637,166	
				APPLICANT Marc Tremblay, et al.			
				FILING DATE 08 August 2003		GROUP ART UNIT 2183	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	2004/0162967 A1	19 Aug 2004	Tremblay et al.	712	228	8 Aug 03	
	2004/0187123 A1	23 Sept 2004	Tremblay et al.	718	100	23 Jan 04	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	"Hybrid Hardware/Software Transactional Memory", by Mark Moir et al., XP-002407376.						
	"Hybrid Transactional Memory", by Mark Moir, Sun Microsystems, Inc. 2005, XP-002407375.						
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.